

UTILITY PATENT APPLICATION TRANSMITTAL
(Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
NEUL-110CNDVCNDV

Total Pages in this Submission

TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application

P.O. Box 1450

Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

APPARATUS AND METHODS FOR CLEANING AND/OR PROCESSING DELICATE PARTS

and invented by:

William Puskas

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/167704

Which is a:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/678576

Which is a:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/066158

which is a Continuation of 08/718945.

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 61 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal Number of Sheets 17
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☐ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Computer Readable Form (CFR)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EV 305061367 US

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Accompanying Application Parts (Continued)

17. ☒ Applicant claims small entity status. See 37 CFR 1.27.

☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted: _____

18. ☒ Additional Enclosures (*please identify below*):

1. Copy of Change of Address correspondence filed in connection with U.S. Patent Appln SN: 09/066158

2. Copy of Preliminary Amendment filed in U.S. Patent Appln SN: 10/167704

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

19. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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(Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
NEUL-110CNDVCNDV

Total Pages in this Submission

16179 U.S. PTO
033004

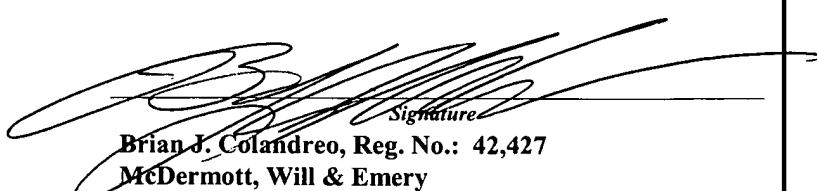
Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	16	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	2	- 3 =	0	x \$43.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$385.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$385.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-1133 as described below.
- ☒ Charge the amount of \$385.00 as filing fee.
 - ☒ Credit any overpayment.
 - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
 - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: JO MARCH 2004


Signature
Brian J. Colandreo, Reg. No.: 42,427
McDermott, Will & Emery
28 State Street
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cc:

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): W.PUSKAS
SERIAL NUMBER to be assigned
FILING DATE to be assigned
INVENTION: APPARATUS AND METHODS FOR CLEANING AND/OR
PROCESSING DELICATE PARTS
ATTORNEY DOCKET: 56726-032 (NEUL-110CNDVCNDV)

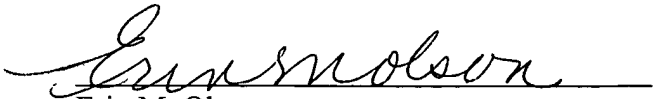
M.S. PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

I hereby certify that a:

Utility Patent Application Transmittal (Sm. Entity); ✓
Description (52 Pages); ✓
Claims (8 Pages); ✓
Abstract (1 Page); ✓
Formal Drawings (17 Sheets); ✓
Preliminary Amendment; ✓
Copy of Declaration filed in Parent Case; ✓
Copy of Change of Address form filed in US App: 09/066158; ✓
Copy of Preliminary Amendment filed in US App: 10/167704 ✓
Postcard /

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope to: Commissioner of Patents, M.S. Patent Application, P. O. Box 1450, Alexandria, VA 22313-1450 on **March 30, 2004**.


Erin M. Olson

Express Mail Label: EV 305061367 US

COPY

Attorney Docket No. NEUL-110CN
56726-013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William L. Puskas
Serial No.: 09/066,158
Filed: April 24, 1998
For: APPARATUS AND METHODS FOR CLEANING
AND/OR PROCESSING DELICATE PARTS
Examiner: Budd, M
Art Unit: 2834

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

1 - 10 - 00
Date of Signature
and of Mail Deposit

Diane M. Valeta
Diane M. Valeta

Assistant Commissioner for Patents
Washington, DC 20231

CHANGE OF ADDRESS

Effective immediately, please change the address of record in the above matter to:

Mark G. Lappin
McDermott, Will & Emery
28 State Street
Boston, MA 02109

Please address all correspondence in this matter to the new address. All telephone calls should be directed to 617/535-4043. All facsimile communications should be directed to 617/535-3800.

Dated: January 10, 2000

Respectfully submitted,
Ronald R. Demsher
Ronald R. Demsher, Reg. No. 42,478
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